Intraoperative Electrochemotherapy of Colorectal Liver Metastases

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Background and Objectives: Electrochemotherapy is effective in treatment of various cutaneous tumors and could be translated into treatment of deep-seated tumors. With this aim a prospective pilot study was conducted to evaluate feasibility, safety, and efficacy of intraoperative electrochemotherapy in the treatment of colorectal liver metastases.

Methods: Electrochemotherapy with bleomycin was performed during open surgery, by insertion of long needle electrodes into and around the tumor according to the individualized pretreatment plan.

Results: A 29 metastases in 16 patients were treated in 16 electrochemotherapy sessions. No immediate (intraoperative) and/or postoperative serious adverse events related to electrochemotherapy were observed. Radiological evaluation of all the treated metastases showed 85% complete responses and 15% partial responses. In a group of seven patients that underwent a second operation at 6–12 weeks after the first one, during which electrochemotherapy was performed, the histology of resected metastases treated by electrochemotherapy showed less viable tissue (P=0.001) compared to non-treated ones.

Conclusions: Electrochemotherapy of colorectal liver metastases proved to be feasible, safe, and efficient treatment modality, providing its specific place in difficult to treat metastases, located in the vicinity of major hepatic vessels, not amenable to surgery or radiofrequency ablation.

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KEY WORDS: electroporation; bleomycin; liver neoplasms; safety; treatment effectiveness; ablation

INTRODUCTION

The best management of patients with resectable colorectal liver metastases is surgical; however, many patients are presented with unresectable metastases, due to their size, location, and/or inadequate remnant liver volume. In such unresectable cases, several alternative local approaches are used, among which the most frequent is radiofrequency ablation [1]; however, its efficacy is reduced in the vicinity of major vessels due to heat sink effect [2]. In such special cases and also in other unresectable cases, new electroporation-based treatment modalities are available—electrochemotherapy and irreversible electroporation—that have a potential role, because they are non-thermal local tumor treatment modalities, and are expected not to have deleterious effects on major blood vessels [3,4].

Electrochemotherapy is a treatment that combines the use of poorly or non-permeant, but highly effective cytotoxic drugs such as bleomycin or cisplatin with reversible electroporation, which facilitates drugs diffusion into the cells, thus increasing their cytotoxicity [5,6]. The use of bleomycin is based on the clinical evidence showing that among other drugs tested bleomycin has the highest potentiation of cytotoxicity by electroporation (up to several 1,000 times) [7,8]. Furthermore, the electroporation is effective only for hydrophilic drugs, like bleomycin and cisplatin, not for the lipophylic drugs that are regularly used in chemotherapy for liver colorectal metastases, like 5-Fu and irinotecan which penetrate cell membrane much easier, and electroporation does not potentiate their cytotoxicity.

Electrochemotherapy with bleomycin is effective in different cutaneous and subcutaneous tumors [9], as well as on preclinical models of colorectal tumors [10,11]. To date electrochemotherapy has

been shown to be very effective in treatment of superficial metastatic disease, such as melanoma and chest wall breast cancer recurrence [7,12–21]. Its value of treating metastatic or unresectable disease within the abdomen and chest has enormous potential [22,23]. Translation of electrochemotherapy into treatment of deep-seated tumors is being currently explored [22], with the description of the technological approach on a case with liver metastasis [24]. The

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Conflict of interest: Damijan Miklavcic holds patents (US 7625729 B2; EP 1395333 B1; US 7306940 B2) of which some have been licensed to IGEA SpA, Carpi, Italy, the producers of a clinical device used for electrochemotherapy in Europe since 2006. He also consults for IGEA SpA. Other authors declare no conflicts of interests.

Ibrahim Edhemovic and Erik Brecelj contributed equally to this work.

The institution at which the work was performed: Institute of Oncology Ljubljana, Ljubljana, Slovenia

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mechanism of action allows one to potentially sterilize tumors that are adjacent to structures that cannot be resected, such as major vessels, which frequently limit a curative resection, especially in liver metastatic disease.

The purpose of this article is to report the feasibility, safety, and efficacy of electrochemotherapy in treatment of colorectal liver metastases based on the treatment parameters of the previous ESOPE study [7]. This has not been done before.

PATIENTS AND METHODS

Study

The study was prospective, pilot study, conducted at the Institute of Oncology Ljubljana, Ljubljana, Slovenia. Regulatory approvals from the Institutional Board, as well as from the National Medical Ethics Committee (#45/09/08) were obtained. The study is registered at ClinicalTrials.gov: NCT01264952. Informed consent has been obtained from all patients included in the trial. The trial was designed based on ESOPE trial for treatment of cutaneous tumors [7], where the dosage of bleomycin and electrical parameters were set in standard operating procedures for treatment of cutaneous tumors [8].

The primary objective of the study was evaluation of the feasibility and safety of intraoperative electrochemotherapy of colorectal liver metastases. The secondary objective was to determine the efficacy of electrochemotherapy treatment, based on histological and radiological evaluation of treated metastases. The endpoints are: toxicity according to the Common Terminology Criteria for Adverse Events (CTC-AE) ver. 4.0 and response rate measured by percentage of vital tumor cells and mRECIST criteria.

Patients

Patients were enrolled from November 2009 to June 2012. All patients included in this study were in AJCC stage IV, with the disease limited to the liver only. Up to three metastases not exceeding 3 cm in the diameter were treated with electrochemoterapy. All patients except one were treated with systemic therapy prior to the electrochemotherapy; however, no systemic treatment was given until the second operation or radiological evaluation (Supplementary Table SI).

Inclusion and exclusion criteria are shown in Table I. Three groups of patients with colorectal liver metastases were included in the study (Table II). The first two groups of patients included patients with intent to cure within standard of care using two-stage surgical approach. This two-stage surgical approach allowed adding electrochemotherapy during the first operation and tissue collection for histological analysis during the second operation.

The first group (group I) included patients with bilateral, multiple, metachronous metastases in whom standard treatment included two-stage liver resection, due to the extent of the disease and/or their general condition. During the first operation, right portal vein was ligated and metastases on the left side were excised or ablated with radiofrequency ablation. At the same time, up to three metastases on the right side were treated with electrochemotherapy. During the second operation, both treated and non-treated metastases on the right side were removed with right hemihepatectomy.

The second group (group II) included patients with synchronous metastases, but their general condition and extent of the disease did not allow simultaneous removal of the primary tumor and metastases. During the first operation, the primary tumor was removed (colorectal resection) and some of the liver metastases were treated by electrochemotherapy. About 6 weeks later, during the second operation for liver metastases, both treated and non-treated metastases were removed with liver resection.

TABLE I. Inclusion and Exclusion Criteria

Inclusion criteria	Exclusion criteria
Age ≥ 18	Pregnancy and lactation
Performance status ≤2 ECOG	Implanted pacemaker or defibrillator
Chemotherapy free interval 2–5 weeks,	Significant cardiac arrhythmias
depending on the drugs used	
Life expectancy more than 3 months	Coagulation disturbances
Written informed consent	Cumulative dose of ≥250,000 IU
	bleomycin received
	Previous allergic reaction to bleomycin
	Chronically impaired kidney function
	Significantly impaired lung function
	Epilepsy
	Ascites
	Life threatening infection or other serious systemic condition or disease
	Secondary primary tumor, except
	surgically treated non-invasive
	cancer of the cervix or surgically
	treated or irradiated basal cell
	carcinoma,
	and confirmed visceral,
	bone or diffuse metastases

The third group (group III) included patients with up to three metachronous, unresectable liver metastases, demanding too excessive resection, or untreatable by standard thermal ablative methods, due to the close proximity of major blood vessels. Electrochemotherapy was offered to these patients as the only treatment option.

Based on the relation of the metastases to the major blood vessels, they were segregated into "central" or "peripheral". The term "central" was used to describe the metastases located in the near vicinity or on the major vessels. The term "peripheral" was used to describe the metastasis away from the major vessels to such an extent, so these vessels would not be affected by the electric field.

Treatment Procedure

The treatment of colorectal liver metastases was performed during open surgery using electrodes with variable [24] or fixed geometry, depending of the location of the metastasis. The electrodes with fixed geometry consist of seven electrodes fixed in a plastic holder and all of them are placed simultaneously as one electrode. The smaller tumors up to 2 cm in diameter, located no deeper than the length of the electrodes, that is, 3 cm, were treated with the electrodes with fixed geometry which are easier to insert and the treatment is performed faster. The variable geometry was utilized when bigger and deeper-seated tumors were treated. Patient-specific pretreatment plans were prepared based on computed tomography or magnetic resonance scans: target lesions (up to 3 cm in the largest diameter) were segmented, and a gradient-based optimization algorithm was used to optimize voltage between each electrode pair to maximize tumor coverage above the reversible electroporation threshold (400 V/cm) and minimize volume of healthy liver parenchyma above the irreversible electroporation threshold (700 V/cm)—see Supplementary Data I: An example of the treatment plan [25–27]. Trains of eight electric pulses (each pulse 100 µs long) were delivered to each pair of electrodes consecutively (Supplementary Table SII) [24]. Electric pulses were delivered by electric pulse generator (IGEA SpA, Carpi, Italy) during an interval of 8-28 min after the intravenous injection of bleomycin 15,000 IU/m² in bolus (Heinrich Mack Nachf. GmbH & CO. KG, Illertissen, Germany), as being determined to be the optimal pharmacological peak for the

TABLE II. Patients, Clinical Features, Tumor Characteristics, Response to Treatment, Adverse Events, and Postoperative Course

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261 15 Central 4 Variable 5 CR (30) CR (131) None None															Transient renal failure (3) Pleural effusion (2)
		CHT	261	15	Central	4	Variable	5			CR (30)	CR (131)	None	None	Infection NOS (1) Biliary fistula (3)

No., number; ECT, electrochemotherapy; CHT, chemotherapy; BT, biologic therapy; RT, radiotherapy; LR, liver resection; CR, complete response; PR, partial response; PD, progressive disease; NA, not available; NOS, not otherwise specified.

bleomycin in the tumors [8]. To maximize the safety of patients, the delivery of electric pulses was synchronized with the absolute refractory period of the heart (see [24] for details) to prevent the electric pulses from being delivered during the vulnerable period of the ventricles [28–31].

Safety Assessment

Adverse events were assessed using the National Cancer Institute Common Terminology Criteria for Adverse Events (CTC-AE) version 4.0. The ECG was monitored continuously during the surgical procedure as well as for 24 hr before and after the surgery using an ambulatory ECG Holter device (SpiderView, ELA Medical, France). Processing of ECG signals included statistical comparison of average RR and QT intervals over different time intervals and heart rate variability (HRV) analysis.

Efficacy Assessment Based on Pathology

Tissue for histological analysis was available in seven patients that were operated twice (Table II). The samples were assessed semi-quantitatively by two pathologists independently. One of the pathologists was blinded with respect to clinical information, treatment regimen and outcome. The mean between the two scores was calculated. The proportion of residual vital tumor tissue and proportion of regressive changes in relation to total tumor area were estimated as described by Ribero et al. [32]. Regressive changes included infarct-like tumor necrosis, fibrosis, foamy macrophages and other reparative changes. Infarct-like tumor necrosis was considered to be a form of treatment effect as proposed by Chang et al. [33].

Efficacy Assessment Based on Radiology

Before and after electrochemotherapy, liver metastases were evaluated by magnetic resonance (MRI) using a specific hepatocyte contrast agent (gadoliniumethoxybenzyl-diethylenetriaminepentaacetic acid—Gd-EOB-DTPA, Primovist, Bayer, Berlin, Germany) or contrast enhanced computed tomography (CE-CT) examination. The treatment response was evaluated by CE-CT or MRI, using the mRECIST criteria [34,35]. In the eight patients (group III) who did not undergo a second operation, an additional radiographic follow-up was performed subsequently.

Statistical Analysis

All data were entered into a Microsoft Access 2010 database, which was used for all calculations except for statistical analysis. For statistical analysis, SigmaPlot Ver. 12 software was used (Systat Software, Inc., San Jose, CA). The pathohistological differences between the electrochemotherapy treated and non-treated metastases were statistically evaluated by the *t*-test after confirming data normality using the Shapiro–Wilk test. A chi-square test was used for statistical comparison of response of metastases located near major blood vessels (referred as "central") and response of metastases located away from the major blood vessels (referred as "peripheral"). A two-tailed *P* value for the *t*-test and *P* value less than 0.05 was considered to be statistically significant.

RESULTS

The clinical features, treatment characteristics and response, adverse events and postoperative course of the 16 patients with 29 metastases are presented in Table II. Safety assessment was possible in all 16 patients; however, response to the treatment was evaluable in 15 patients (27 evaluable metastases)—one patient developed numerous new liver metastases, so evaluation of the response of the treated metastases was not possible.

Adverse Events

No electrochemotherapy related serious adverse events occurred. All observed adverse events are reported in Table II. Only grade 1 fever could be attributed to electrochemotherapy. Postoperative complications within and after 24 hr post electrochemotherapy could not be attributed specifically to electrochemotherapy and were in the range grades 1–3.

Three patients required reoperation: two patients due to colon perforation and one due to small bowel obstruction. None of these complications were related to the electrochemotherapy itself (Supplementary Data II: Data on patients' complications). All three patients were successfully reoperated and all 16 patients were discharged from hospital—there was no perioperative mortality.

The median duration of the patient's hospitalization after electrochemotherapy was 14 days (range 7–42); including three patients (one patient from group I and two patients from group III) that needed prolonged hospitalization, due to their reoperations.

After discharge from hospital, patients were followed up on outpatients' basis. Seven out of eight patients from groups I and II underwent major hepatic resection as planned at median of 59 days (range 43–84 days) after the electrochemotherapy (one patient was not reoperated due the disease progression). After 90 days, no patient from group III had signs of liver, renal or lung dysfunction, including those with serious complications and reoperations. Biliary fistulas in two patients ceased without intervention.

The treatment of 13 metastases (48%), that were located near or inbetween the major blood vessels of the liver (referred as "central" in Table II), was safe. Neither intraoperatively nor postoperatively bleeding was observed. In some cases, the withdrawal of the electrodes resulted in mild bleeding, which however was easily stopped by electrocoagulation.

Safety Aspect of Electrochemotherapy in the Context of Changes in the ECG

The safety aspect of electrochemotherapy of colorectal liver metastases was evaluated based on detected changes in ECG signals recorded during and after the surgical procedure. No significant arrhythmias or pathological morphological changes that would indicate development of myocardial ischemia after electrochemotherapy were detected. The procedure did not result in new-onset of abnormal heartbeats (atrial or ventricular extrasystoles) or in increased frequency of abnormal heartbeats in patients who rarely had minor arrhythmias present in ECG signal before the treatment. ECG and HRV analysis; however, revealed some statistically significant but clinically irrelevant changes in the properties of the ECG during and after the surgical procedure. The most obvious one was a mild increase in heart rate immediately after electrochemotherapy (two patients) and also during the first 24 hr after the procedure (three patients). In addition, there was a mild depression in the low frequency component of the HRV spectrum (three patients).

Pathologic Response Evaluation

Pathologic analysis was performed on metastases treated with electrochemotherapy during the first operation and resected at the second operation (groups I and II). Altogether, 13 liver metastases treated with electrochemotherapy were microscopically analyzed and compared with 22 non-treated metastases from the same patients. Pathologic analysis revealed that metastases which were not treated by electrochemotherapy had a significantly higher percentage of residual vital tumor tissue, than electrochemotherapy treated metastases. On average, electrochemotherapy treated metastases had $9.9 \pm 12.2\%$ (AM \pm SD) viable tissue, and control metastases had $34.1 \pm 22.5\%$ (P = 0.001, two-tailed t-test) (Fig. 1). Typical changes that were observed in the metastases with complete response were infarct-like necrosis of the

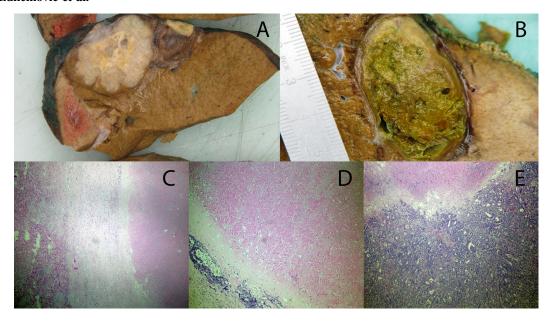


Fig. 1. Pathohistological features of tumors treated by electrochemotherapy in relation to those that were not. (A) Gross picture of two metastases: the large one corresponds to a metastasis treated by chemotherapy only, the small one corresponds to a metastasis treated by electrochemotherapy. The patient was in the group I where the two-stage operation was done. (B) Gross picture of metastasis treated by electrochemotherapy: complete necrosis of tumor and surrounding liver parenchyma. (C) Histological picture of completely necrotic tumor treated with electrochemotherapy: an infarct-like necrosis is in the right part of the picture, vital liver parenchyma in the left. In-between there is a fibrous pseudocapsule (H&E, $5 \times$). (D) The only focus of residual vital tumor tissue in otherwise completely necrotic electrochemotherapy treated metastasis. An infarct-like necrosis is in the upper part of the picture (H&E, $10 \times$). (E) Partial response in metastasis treated with chemotherapy only: an infarct-like necrosis is present in the upper field of the picture with lager amount of residual vital tumor tissue.

tumor tissue and the surrounding tumor parenchyma, with encapsulation of the treated tissue (fibrous pseudocapsule on the border between the normal liver tissue and the electrochemotherapy treated area).

Radiologic Response Evaluation

The median interval between the treatment and first radiological evaluation was 33 days (range 14–76). Twenty-seven metastases were evaluated (Table II), a complete response was observed in 23 (85%). In four metastases (15%) some enhancements of the treated lesion were seen, in both phases of liver enhancement, and they were evaluated as a partial response or local tumor progression.

In the group of eight patients (group III) with a single-stage operation, 14 metastases were treated by electrochemotherapy. These patients were evaluated radiologically twice. On the first follow-up examination at a median of 31.5 days (range 14–59) after electrochemotherapy, a complete response was seen in 12 metastases (86%). There was peripheral enhancement of the lesions in two metastases, which suggested a partial response. At the second follow-up, at median of 147 days (range 41–274) after electrochemotherapy, 10 (71%) metastases were still in complete response, while the other 4 progressed (Fig. 2). Response evaluated on a per patient basis was complete response for 5 (62.5%) patients and progressive disease for 3 (37.5%) patients.

Thirteen metastases were adjacent to major hepatic vessels. A 77% (10 metastases) were in complete response 33 days after electrochemotherapy (Table II). There was no difference detected in response of metastases located near major blood vessels and metastases located away from the major blood vessels (P = 0.244).

DISCUSSION

This translational study shows that electrochemotherapy is feasible, safe, and efficient treatment modality for the colorectal liver metastases.

The simple physicochemical concept of electrochemotherapy procedure, using electric pulses to transiently increase the permeability of the cell membrane and facilitate the uptake of otherwise poorly permeant but highly effective cytotoxic drugs, provides a solid basis for its effectiveness in various tumor types, including colorectal tumors [10–12,18,36,37]. Translation of this treatment approach to the treatment in internal organs has recently begun. It is based on technological advance, with newly developed electric pulse generators and different sets of electrodes for specific organs [22,38].

Feasibility

In this study, we treated 16 patients with colorectal liver metastases, in different anatomical locations in the liver, including 13 metastases in the close vicinity of major hepatic vessels. Metastases positioned >3 cm deep in liver parenchyma were treated by long individual electrodes placed by ultrasound guidance according to the pretreatment plan [24]. For more superficially positioned metastases, electrodes with fixed geometry that were placed all at once were used without pretreatment plan. Eventually, further possible development of this method should provide percutaneous treatment, as in the case of radiofrequency ablation [39].

Safety

So far, no treatment related adverse events have been reported, either in the treatment of superficial tumors, or tumors in internal organs [12,16,24,40]. Local pain and transient erythema affecting the electroporated areas are among the most commonly reported side effects.

It is known that application of electric fields can affect implanted electrical devices (pacemakers) and interfere with cardiac function [24,30,31,41–43], therefore such patients were excluded for safety reasons. Electrochemotherapy of the cutaneous tumors has been demonstrated to have minimal risk of interfering with cardiac function,

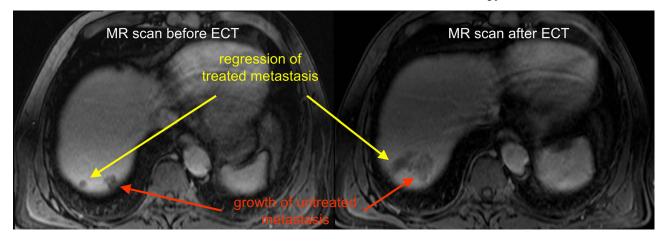


Fig. 2. Radiological changes in electrochemotherapy (ECT) treated and non-treated metastases, evaluated 30 days after the treatment.

even for tumors located on the chest wall near the heart [29]. Due to the proximity of the heart to the liver in our study, we synchronized the delivery of electric pulses with the ECG that resulted in uneventful and safe delivery of electric pulses to liver metastases. The mild changes detected in ECG and HRV parameters during and up to 24 hr after electrochemotherapy have no known clinical relevance.

The patients from groups I and II were in good condition and were treated with intent to cure within standard of care (two-stage R0 liver resection combined with systemic chemotherapy). In these two groups of patients there were no hepatic complications or any other serious complications related specifically to electrochemotherapy. A colon perforation was not caused by electrochemotherapy—it occurred at the same day when patient had an episode of the atrial fibrillation (1 week after the surgery) with subsequent partial thromboembolization of the colonic arteries that resulted in partial colon bowel necrosis and perforation.

All major complications; however, occurred in the group III (Table II). These patients were intensively treated previously and had unresectable or untreatable disease by conventional ablative techniques. These patients were offered electrochemotherapy as the only treatment option; however, majority of these patients had numerous previous major abdominal procedures and consequently required demanding liver mobilization due to very firm adhesions as well as additional liver resection along with electrochemotherapy. In this group of patients, two patients required reoperation. In the first case, mobilization of dense adhesions resulted in delayed perforation due to vascular compromise of the colonic wall. The second reoperated patient from this group had a typical obstruction of the small bowel caused by postoperative adhesions.

Efficacy

Significant reduction of viable tumor tissue in electrochemotherapy treated metastases versus control metastases was demonstrated. The typical changes that were observed in metastases with complete response were infarct-like necrosis of the tumor tissue and surrounding liver parenchyma, which supports evidence that electrochemotherapy has besides direct cytotoxic effect on the tumor cells also a vascular disrupting effect on small tumor blood vessels [44,45]. In contrast to the effect of electrochemotherapy on small tumor vessels, the effect on major blood vessels was not deleterious, similarly as it was demonstrated in non-thermal irreversible electroporation [3,4,46]. Namely, many of the metastases were located in-between, or in the vicinity of major blood vessels and no side effects on these vessels during or after

electrochemotherapy procedure were observed. Furthermore, the electrochemotherapy was equally effective on these metastases and metastases located in a peripheral of liver tissue. Contrary, radiofrequency ablation does not work well close to the major vessels due to the heat sink effect. Previously, in the paper describing the technological approach of electrochemotherapy treatment, we reported histologically confirmed complete tumor response on a patient subjected to electrochemotherapy with liver metastasis located in-between inferior vena cava and the main hepatic veins [24]. The recent follow-up showed that this patient is still disease free 4 years after the procedure.

The high response rate is comparable to the effectiveness of nonthermal irreversible electroporation and radiofrequency ablation [2,47]. Furthermore, the radiological features of the treated metastases resemble those after radiofrequency ablation; the treated zone appeared as a welldefined area of low attenuation, usually larger than the former metastases. In some metastases, enhancement was seen in either the arterial or portal phase of liver enhancement.

Electrochemotherapy proved to be safe and effective in treatment of the metastases adjacent to structures that cannot be resected, such as major vessels that frequently limit a curative resection. Compared to thermal ablation techniques, electrochemotherapy, a non-thermal one, is safe and effective treatment also in the vicinity of the major blood vessels, because of lack of the heat sink effect [2,48,49]. Currently, electrochemotherapy is not a replacement for the radiofrequency ablation, but can be considered as a complementary method that may be used in situations where radiofrequency ablation would not be efficient.

CONCLUSION

This study provides the first evidence of the feasibility, safety and efficacy of electrochemotherapy in the treatment of colorectal liver metastases, which may also prove to be useful in the treatment of other tumors in the liver.

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